

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kelvin R. Porter

Confirmation No.: To Be Determined

Serial No.: To Be Determined

Art Unit: Unassigned

Filed: March 11, 2004

Examiner: Unassigned

Title: Method and System for Processing Records in a Communications Network

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97, and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted within three months of the filing date of the application and prior to issuance of a first Office Action on the merits, therefore, no fee is due.

Under 37 C.F.R. § 1.98(d), no copy of any patent, publication, pending U.S. application or other information listed in this information disclosure statement is required to be provided, as the information was previously submitted to, or cited by, the Office in earlier Application No. 09/464,647, filed December 15, 1999. Further, according to the Official Gazette, Vol. 1273, Number 1, August 5, 2003, the Office hereby waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

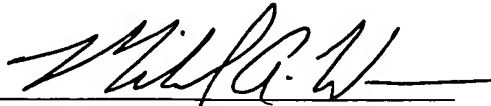
Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491 and please credit any excess fees to such deposit account.

Respectfully submitted,

Date: 3/11/2004



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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

RIC98034C1

To Be Determined

LIST OF DOCUMENTS CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT(S): Kelvin R. Porter

FILING DATE

March 11, 2004

GROUP

Unassigned

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	4,785,408	11/1988	Britton et al.			
	5,311,572	05/1994	Friedes et al.			
	5,323,452	06/1994	Dickman et al.			
	5,442,690	08/1995	Nazif et al.			
	5,448,631	09/1995	Cain			
	5,537,466	07/1996	Taylor et al.			
	5,586,177	12/1996	Farris et al.			
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	5,724,584	03/1998	Peters et al.			
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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Gritzalix, et al., "Security Issues Surrounding Programming Languages for Mobile Code: JAVA vs. Sate-Tcl", IEEE, pages 16-22

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. RIC98034C1	SERIAL NO. To Be Determined
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* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
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		6,266,665	05/2001	Deo et al.			
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